

Improving Distortion via Queries

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Part I

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Improving **Distortion** via Queries

How we decide?

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- Suppose that a set of people will **vote** for the **winner** in a hypothetical battle

How we decide?

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- Suppose that a set of people will **vote** for the **winner** in a hypothetical battle
- The contenders are:

How we decide?

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How we decide?

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Intensity of preferences

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- Member 1: Close but I was always afraid of bats, so I will go for Batman

Intensity of preferences

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- Member 1: Close but I was always afraid of bats, so I will go for Batman
- Member 2: I have not read the comics but I saw BvS and Batman won the fight, although it was close. So, I suppose Batman wins

Intensity of preferences

9



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- ...
- Member $n/2+1$: It is close if you consider that Superman is weak to kryptonite. I will vote for Batman

Intensity of preferences

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- ...
- Member $n/2+1$: It is close if you consider that Superman is weak to kryptonite. I will vote for Batman
- Member $n/2+2$: Come on people are you serious? Superman wins!!

Intensity of preferences

11



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...

- Member $n/2+1$: It is close if you consider that Superman is weak to kryptonite. I will vote for Batman
- Member $n/2+2$: Come on people are you serious? Superman wins!!

...

- Member n : This is not even a contest... Superman would destroy him

Intensity of preferences

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- Batman is the **winner** according to the **majority**

Intensity of preferences

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- Batman is the **winner** according to the **majority**
- However, the outcome may would have been **different** if we had **information** about the **intensity** of the **preferences**

The setting

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- A set of n agents N and a set of m alternatives A
- Each agent $i \in N$ has a value v_{ix} for every alternative $x \in A$ (cardinal preferences)
 - Captures how intense a preference is

The setting

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- The agents **submit** a **preference ranking** over the alternatives that is consistent to their values (**ordinal preferences**)
- An **ordinal** mechanism takes these rankings as an **input**
 - **Outputs** a **single** alternative as the winner

Utilitarian Social Choice

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- **Objective:** **Maximize** the **social welfare**, i.e., select the alternative x that maximizes

$$\sum_{i \in N} v_{ix}$$

Utilitarian Social Choice

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- Objective: **Maximize** the **social welfare**, i.e., select the alternative x that maximizes

$$\sum_{i \in N} v_{ix}$$

- Expresses how the **society feels** about the produced outcome

Utilitarian Social Choice

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- Objective: **Maximize** the **social welfare**, i.e., select the alternative x that maximizes

$$\sum_{i \in N} v_{ix}$$

- This is easy to achieve when the **cardinal preferences** are known

Utilitarian Social Choice

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- Objective: **Maximize** the **social welfare**, i.e., select the alternative x that maximizes

$$\sum_{i \in N} v_{ix}$$

- It may not be possible when **only** the **ordinal preferences** are known, due to the lack of information

Distortion

- The distortion of an *ordinal* mechanism M is the maximum ratio (over all possible inputs) of the maximum possible social welfare, over the social welfare achieved by the mechanism
 - Defined by Procaccia and Rosenschein [2006]

Distortion

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 - ▣ Defined by Procaccia and Rosenschein [2006]
- Expresses the **guarantees** of the mechanism in the **worst-case** scenario

Distortion

- **Remark 1:** A mechanism that has access to the **cardinal information** can obviously achieve a distortion of 1

Distortion

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- **Remark 2:** A mechanism that has access only to the **ordinal information** may elect an alternative that is **different** from the optimal
 - ▣ The distortion captures **how good-bad is this alternative** in comparison with the optimal one

Distortion

- **Remark 1:** A mechanism that has access to the **cardinal information** can obviously achieve a distortion of 1
- **Remark 2:** A mechanism that has access only to the **ordinal information** may elect an alternative that is **different** from the optimal
 - ▣ The distortion captures **how good-bad is this alternative** in comparison with the optimal one
- **Remark 3:** The distortion is **usually expressed** as a function of m (the number of alternatives)

What we know?

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- Ordinal Deterministic Mechanisms
- Ordinal Randomized Mechanisms

What we know?

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- Ordinal Deterministic Mechanisms
- Ordinal Randomized Mechanisms
 - ▣ There is randomness on how the mechanism elects the winner
 - ▣ The guarantees of the mechanism are in expectation

What we know?

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- Ordinal Deterministic Mechanisms
- Ordinal Randomized Mechanisms
 - ▣ Unit-Sum Assumption: The values of an agent over the alternatives sum up to 1

What we know?

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- Ordinal Deterministic Mechanisms
- Ordinal Randomized Mechanisms
 - ▣ Unit-Sum Assumption: The values of an agent over the alternatives sum up to 1
 - An agent assigns to each alternative a percentage that expresses how much he likes him
 - Without any normalization assumption the distortion can be arbitrarily bad

What we know?

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- Ordinal Deterministic Mechanisms
 - ▣ The distortion of *Plurality* for **unit-sum** valuations is $O(m^2)$ [Caragiannis and Procaccia 2011]
 - ▣ The distortion of *any* deterministic ordinal mechanism for **unit-sum** valuations is $\Omega(m^2)$ [Caragiannis et al. 2017]

What we know?

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- Ordinal Randomized Mechanisms
 - ▣ There is an ordinal randomized mechanism with $O(\sqrt{m} \cdot \log^* m)$ distortion for **unit-sum** valuations [Boutilier et al. 2015]
 - ▣ The distortion of *any* randomized ordinal mechanism for **unit-sum** valuations is $\Omega(\sqrt{m})$ [Boutilier et al. 2015]

What we know?

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- Most of the work on distortion regards **ordinal mechanisms**

Ordinal
Preferences

Cardinal
Values



Deterministic: $O(m^2)$

Randomized: $O(\sqrt{m} \cdot \log^* m)$

Distortion=1

Question

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- How can we improve the distortion?

Ordinal
Preferences

Cardinal
Values



Deterministic: $O(m^2)$

Randomized: $O(\sqrt{m} \cdot \log^* m)$

Distortion=1

Part II

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Improving Distortion **via** **Queries**

An idea

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- What if we could **elicit** some **cardinal** information via simple **queries**?

An idea

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- What if we could **elicit** some **cardinal** information via simple **queries**?
 - What is your **value** for alternative x ?

An idea

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- What if we could **elicit** some **cardinal** information via simple **queries**?
 - ▣ What is your **value** for alternative x ?
 - ▣ Do you **prefer** alternative x by at least twice as much as alternative y ?

Queries

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- **Value Query**: Present agent i with an alternative x , and **ask** the agent for his value v_{ix}

Queries

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- **Value Query**: Present agent i with an alternative x , and **ask** the agent for his value v_{ix}
- **Comparison Query**: Present agent i with two alternatives x and y , and a number d , and **ask** the agent whether $v_{ix} \geq d \cdot v_{iy}$

Queries

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- **Value Query**: Present agent i with an alternative x , and **ask** the agent for his value v_{ix}
- **Comparison Query**: Present agent i with two alternatives x and y , and a number d , and **ask** the agent whether $v_{ix} \geq d \cdot v_{iy}$
 - ▣ A **weaker** form of query
 - ▣ **Easier** for an agent to answer

Mechanisms

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Mechanism $M = (Q, R)$

- Algorithm Q
 - Input: the ordinal profile \succ
 - Makes a set of (**value** or **comparison**) **queries** per agent
 - Output: the answers to the queries
- Modified voting rule R

Mechanisms

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Mechanism $M = (Q, R)$

- Algorithm Q
 - Input: the ordinal profile \succ
 - Makes a set of (**value** or **comparison**) **queries** per agent
 - Output: the answers to the queries
- Modified voting rule R
 - Input: the **ordinal** profile \succ , and the answers to the **queries** $Q(\succ)$
 - Output: a single alternative

Improving distortion via queries

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I can't believe that
I lost to this guy

Ordinal
Preferences

What lies in between?

Cardinal
Values



Deterministic: $O(m^2)$

Randomized: $O(\sqrt{m} \cdot \log^* m)$

Distortion=1

Improving distortion via queries

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Let's try this again



Ordinal Preferences



Cardinal Values



Deterministic: $O(m^2)$

Randomized: $O(\sqrt{m} \cdot \log^* m)$

Distortion=1

Part III

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Improving Distortion via Queries

Approach

Before we begin

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- Every result holds **without** making any **normalization assumption** about the values of the agents
 - Unless stated otherwise

Before we begin

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- The focus will be on: Deterministic mechanisms

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 - ▣ $O(\sqrt{m})$ distortion
 - ▣ $O(1)$ distortion

Before we begin

49

- Every result holds **without** making any **normalization assumption** about the values of the agents
 - ▣ Unless stated otherwise
- The focus will be on: Deterministic mechanisms
 - ▣ $O(\sqrt{m})$ distortion: Bound of the **randomized ordinal** mechanisms
 - ▣ $O(1)$ distortion: Provides a very **good** approximation of the **optimal** outcome

Before we begin

50

- Every result holds **without** making any **normalization assumption** about the values of the agents
 - ▣ Unless stated otherwise
- The focus will be on: Deterministic mechanisms
 - ▣ $O(\sqrt{m})$ distortion: Bound of the **randomized ordinal** mechanisms
 - ▣ $O(1)$ distortion: Provides a very **good** approximation of the **optimal** outcome
- **Goal**: Reach these bounds with as **few** queries (per agent) as possible

A Warm-Up

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- If we have λ available queries per agent, what is the **best** way to spend them?

A Warm-Up

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- If we have λ available queries per agent, what is the **best** way to spend them?
- **A first idea:** There is a lot of value hidden under the λ -**best** alternatives of each agent
 - ▣ Since we have the ordering, we know who they are
 - ▣ Maybe we should focus there

A Warm-Up

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- Mechanism: λ -Prefix Range Voting (λ -PRV)

A Warm-Up

54

- λ -PRV
 - ▣ Ask every agent for the value that he has at the **best** λ positions

A Warm-Up

55

- λ -PRV
 - ▣ Ask every agent for the value that he has at the **best** λ positions
 - ▣ Set the rest of the values to **0**

A Warm-Up

56

- λ -PRV
 - ▣ Ask every agent for the value that he has at the **best** λ positions
 - ▣ Set the rest of the values to **0**
 - ▣ Choose the alternative that **maximizes** the social welfare, according to **these values**

Performance?

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- λ -PRV
 - ▣ By asking λ queries per agent achieves an m/λ distortion

Performance?

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- λ -PRV
 - ▣ By asking λ queries per agent achieves an m/λ distortion
- Achieves distortion $O(\sqrt{m})$ using $\Theta(\sqrt{m})$ queries per agent
- Achieves distortion $O(1)$ using $\Theta(m)$ queries per agent

Can we do better?

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- Is it possible to achieve these **distortion** bounds by asking each agent **fewer** queries?

Can we do better?

60

- Is it possible to achieve these **distortion** bounds by asking each agent **fewer** queries?
 - Yes!

Can we do better?

61

- Is it possible to achieve these **distortion** bounds by asking each agent **fewer** queries?
 - ▣ Yes!
- We will try to use the fact that the **ordinal** preferences are **known** in a more clever way

Can we do better?

62

- Is it possible to achieve these **distortion** bounds by asking each agent **fewer** queries?
 - ▣ Yes!
- We will try to use the fact that the **ordinal** preferences are **known** in a more clever way
 - ▣ What about **Binary Search**?

Binary Search

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- Consider a set of m items the **value** of which is **hidden**

Binary Search

64

- Consider a set of m items the **value** of which is **hidden**
- Suppose however that the items are **sorted** in an increasing manner, and their **ordering is given**

Binary Search

65

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- Suppose however that the items are **sorted** in an increasing manner, and their **ordering is given**
- **Input:** A number and the ordering of the items

Binary Search

66

- Consider a set of m items the **value** of which is **hidden**
- Suppose however that the items are **sorted** in an increasing manner, and their **ordering is given**
- **Input**: A number and the ordering of the items
- **Output**: The item with the closest value to the given number

Binary Search

67

- Consider a set of m items the **value** of which is **hidden**
- Suppose however that the items are **sorted** in an increasing manner, and their **ordering is given**
- **Input**: A number and the ordering of the items
- **Output**: The item with the closest value to the given number
- **Allowed actions**: Ask what is the hidden value of an item

The Naive Way

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□ Number: 41



The Naive Way

70

□ Number: 41

1 < 8 < < < < < <

The Naive Way

71

□ Number: 41

1 < 8 < 19 < < < < <

The Naive Way

72

□ Number: 41

1 < 8 < 19 < 37 < < < <

The Naive Way

73




□ Number: 41

1 < 8 < 19 < 37 < 43 < < <

The Naive Way

74

- Number: 41

1 < 8 < 19 < 37 < 43 <  <  < 

- We found the desired item (no need to check the rest)
 - ▣ However, in the worst-case scenario we will make m queries

Binary Search

75

- Number: 41



- Can we solve the problem with **fewer** queries?

Binary Search

76

- Number: 41

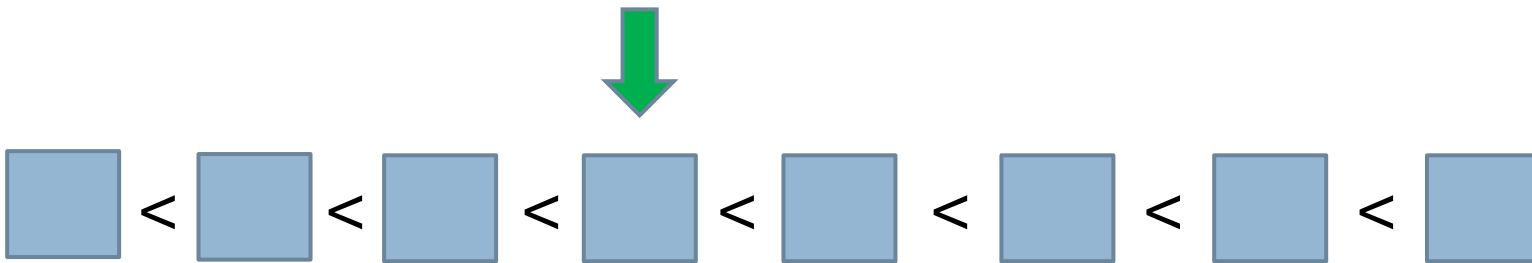


- Yes! Use the ordering in a more clever way!

Binary Search

77

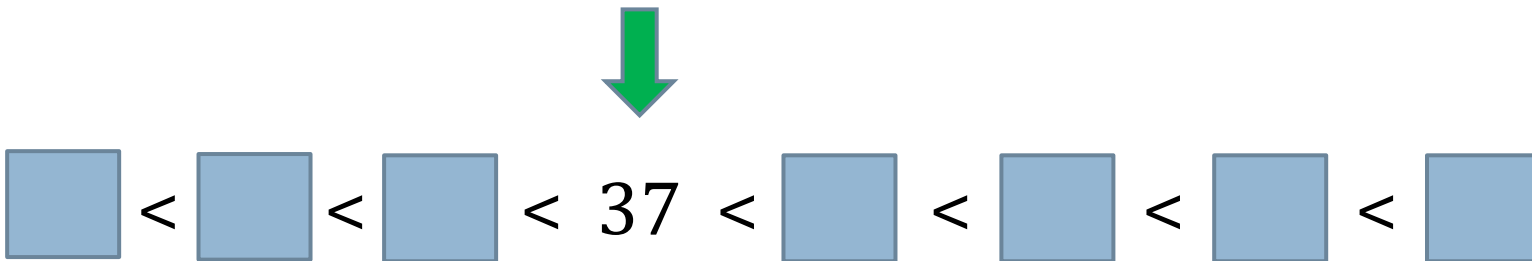
□ Number: 41



Binary Search

78

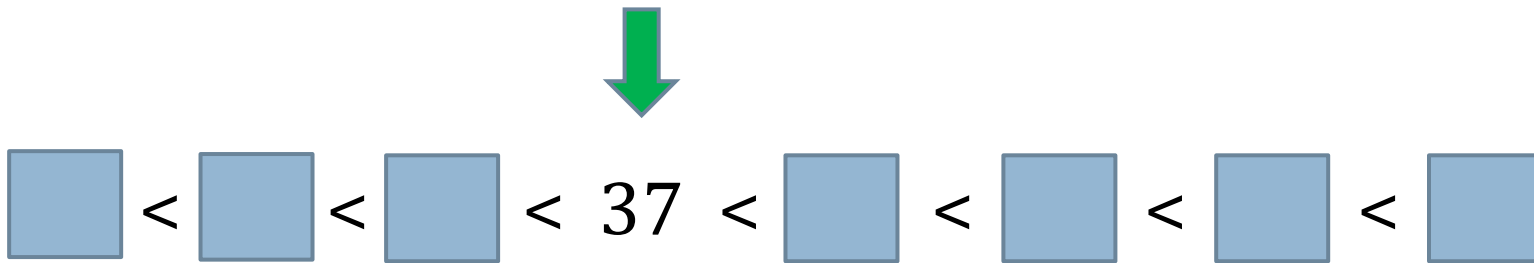
□ Number: 41



Binary Search

79

- Number: 41

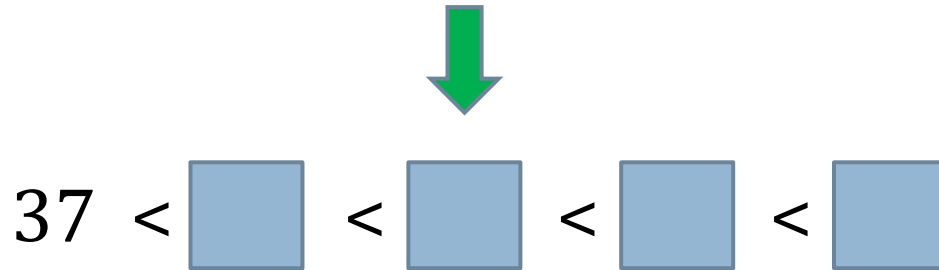


- The numbers on the left are smaller than 37, so there is no need to check them

Binary Search

80

- Number: 41

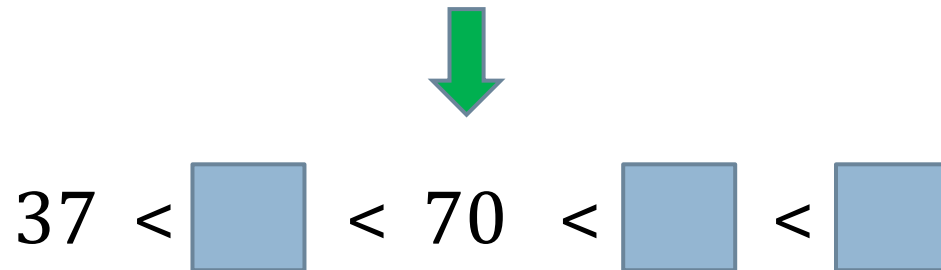


- Do the same recursively

Binary Search

81

- Number: 41

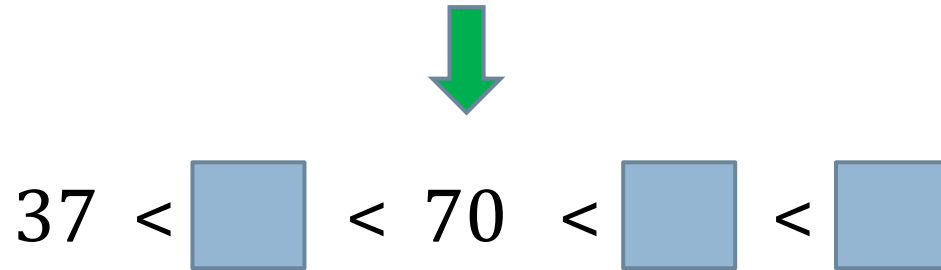


- Do the same recursively

Binary Search

82

- Number: 41




- The numbers on the right are larger than 70, so there is no need to check them

Binary Search

83

- Number: 41


$$37 < \square < 70$$

- Do the same recursively

Binary Search

84

- Number: 41

$$37 < 43 < 70$$

- This procedure makes at most $\log m$ queries!

Can we do better?

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- Is it possible to achieve these **distortion** bounds by asking each agent **fewer** queries?
 - Yes!
 - k -Acceptance Range Voting (k -ARV): A mechanism that runs the **Binary Search** as a sub-routine

k -Acceptable Range Voting

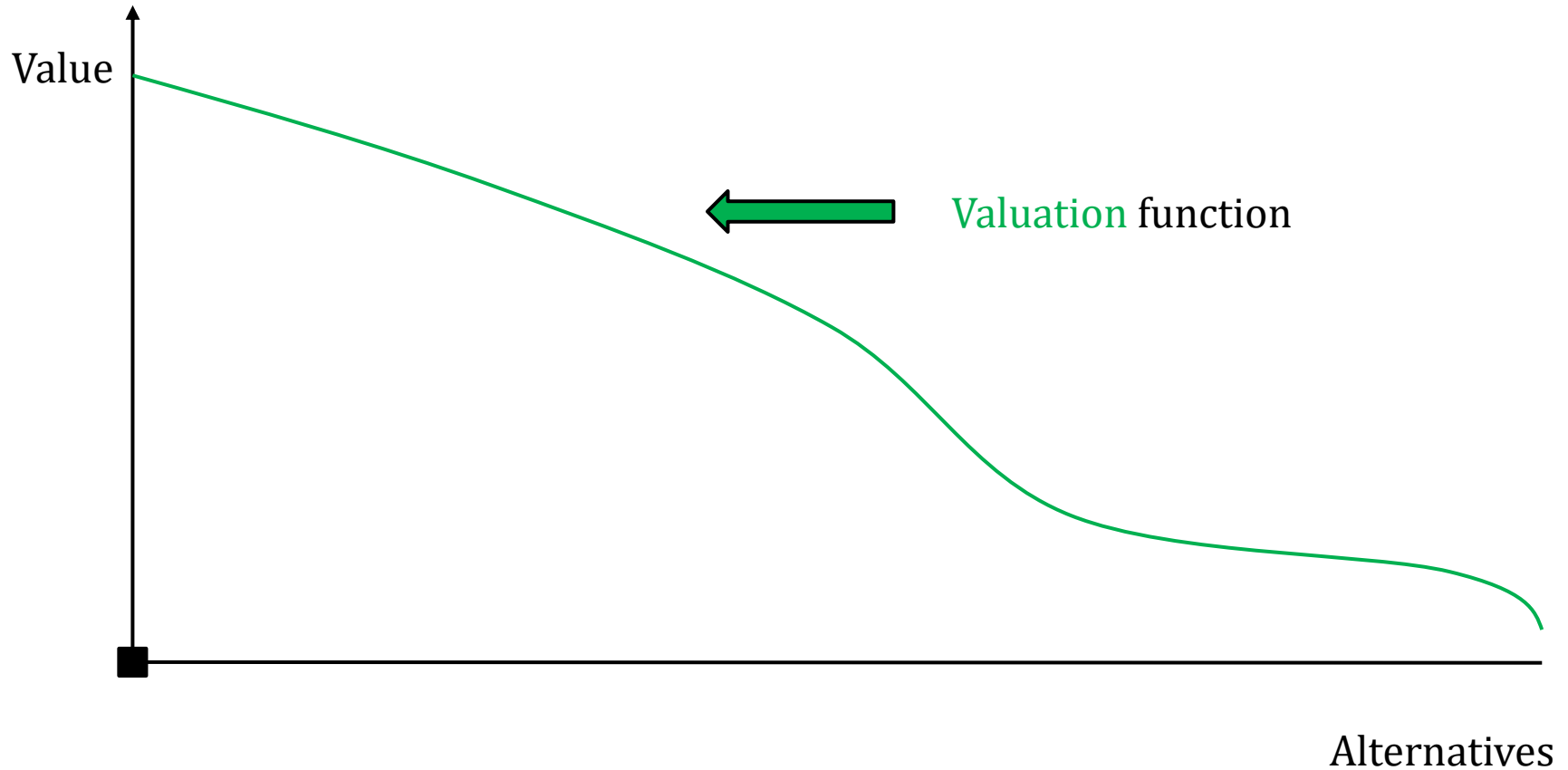
86

- Define k threshold values $\lambda_1, \dots, \lambda_k$

k -Acceptable Range Voting

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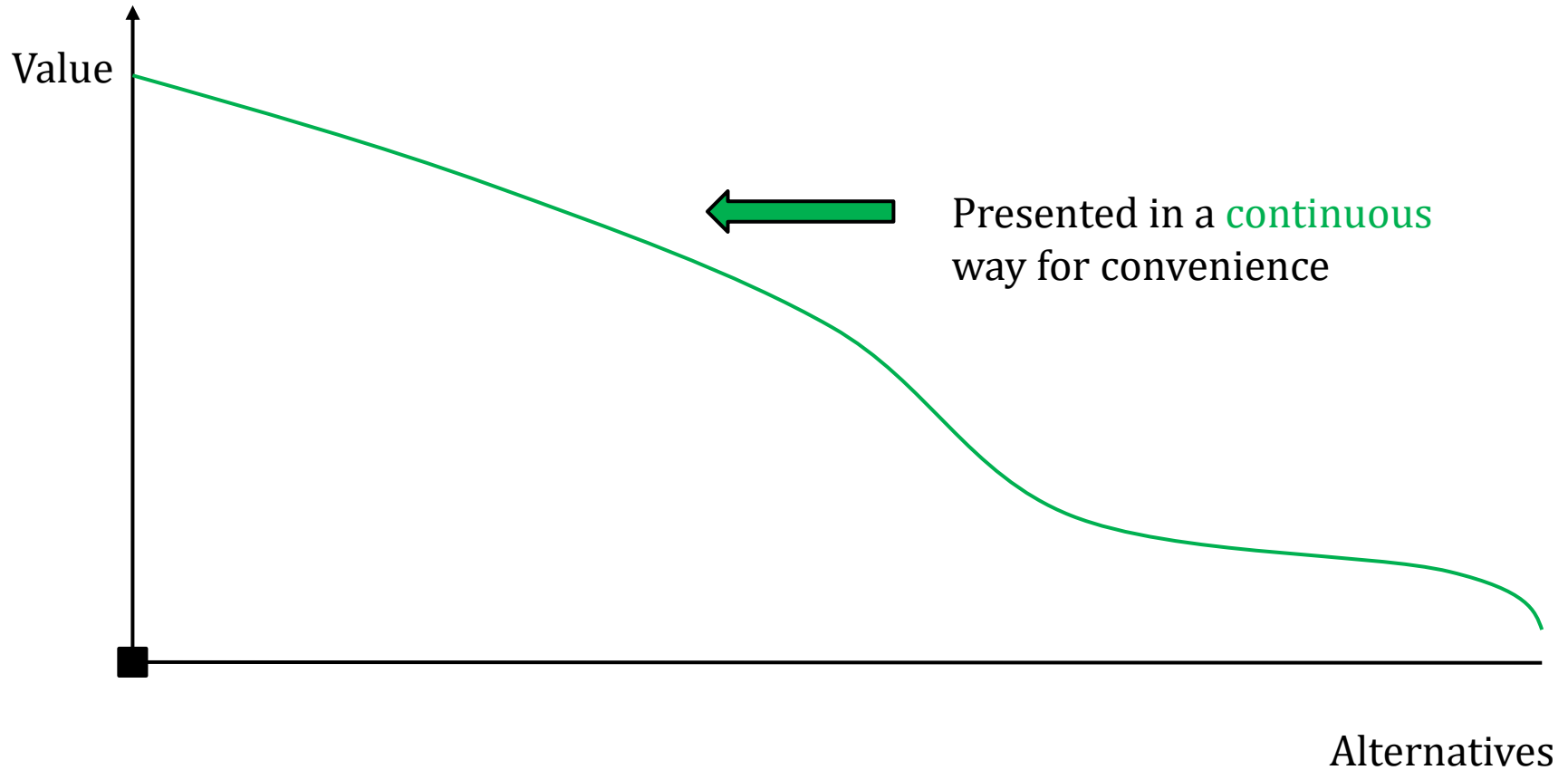
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k -Acceptable Range Voting

88

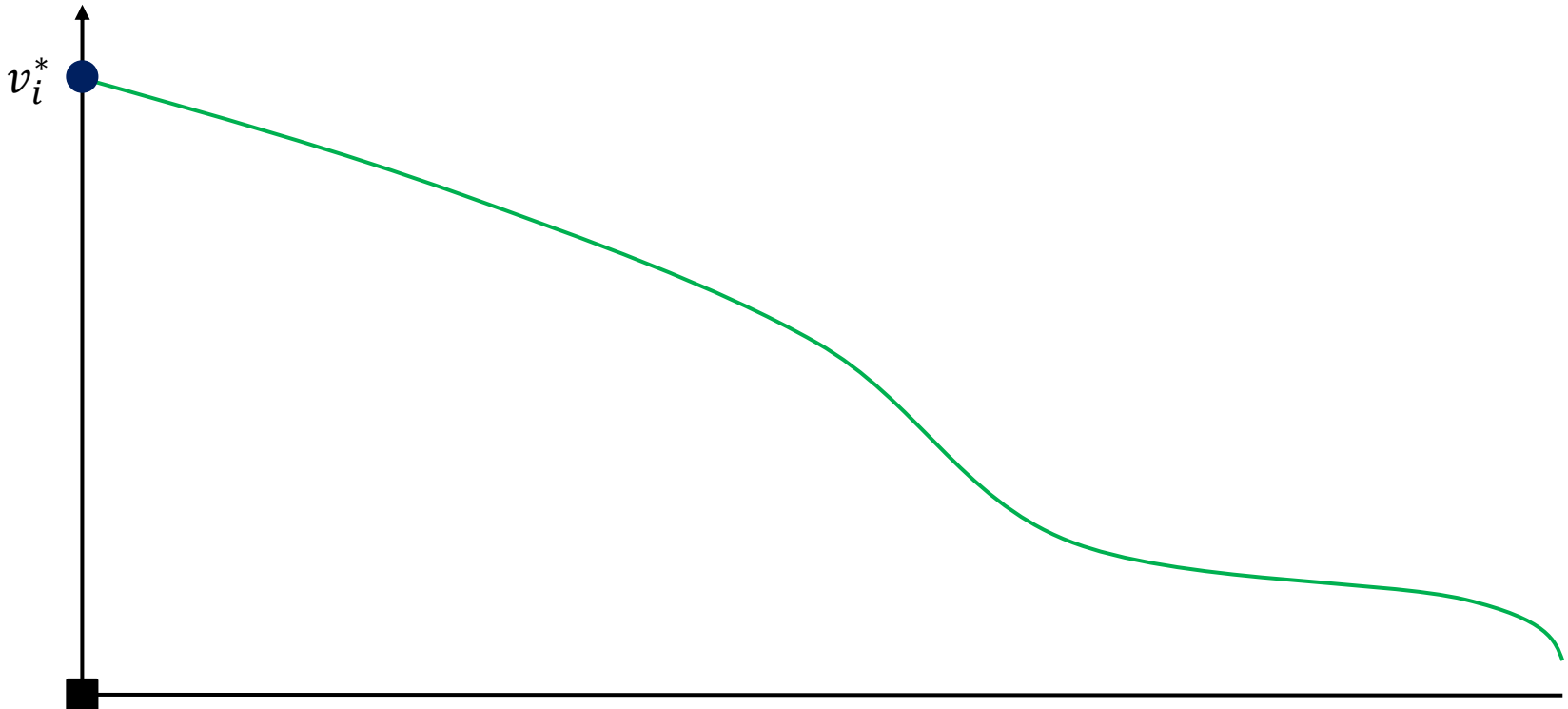
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k -Acceptable Range Voting

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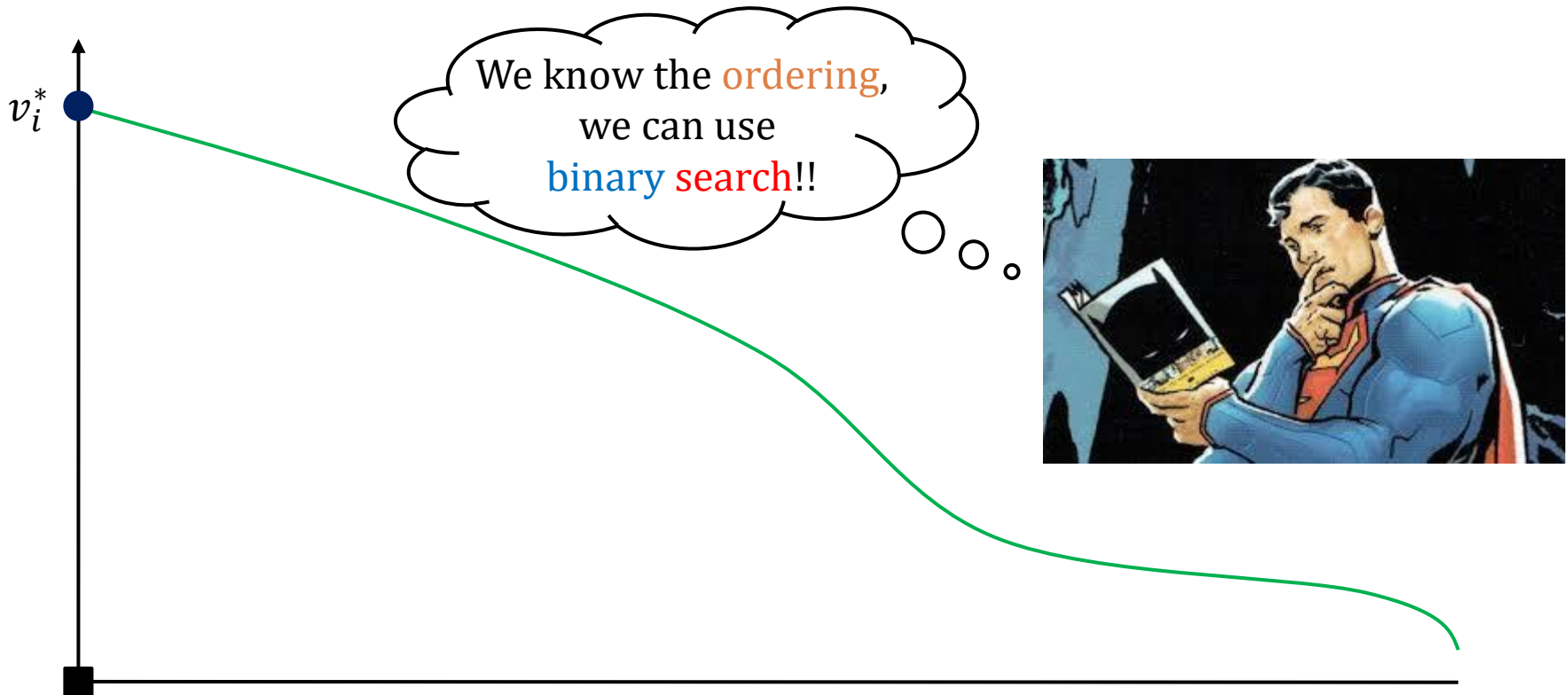
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k -Acceptable Range Voting

90

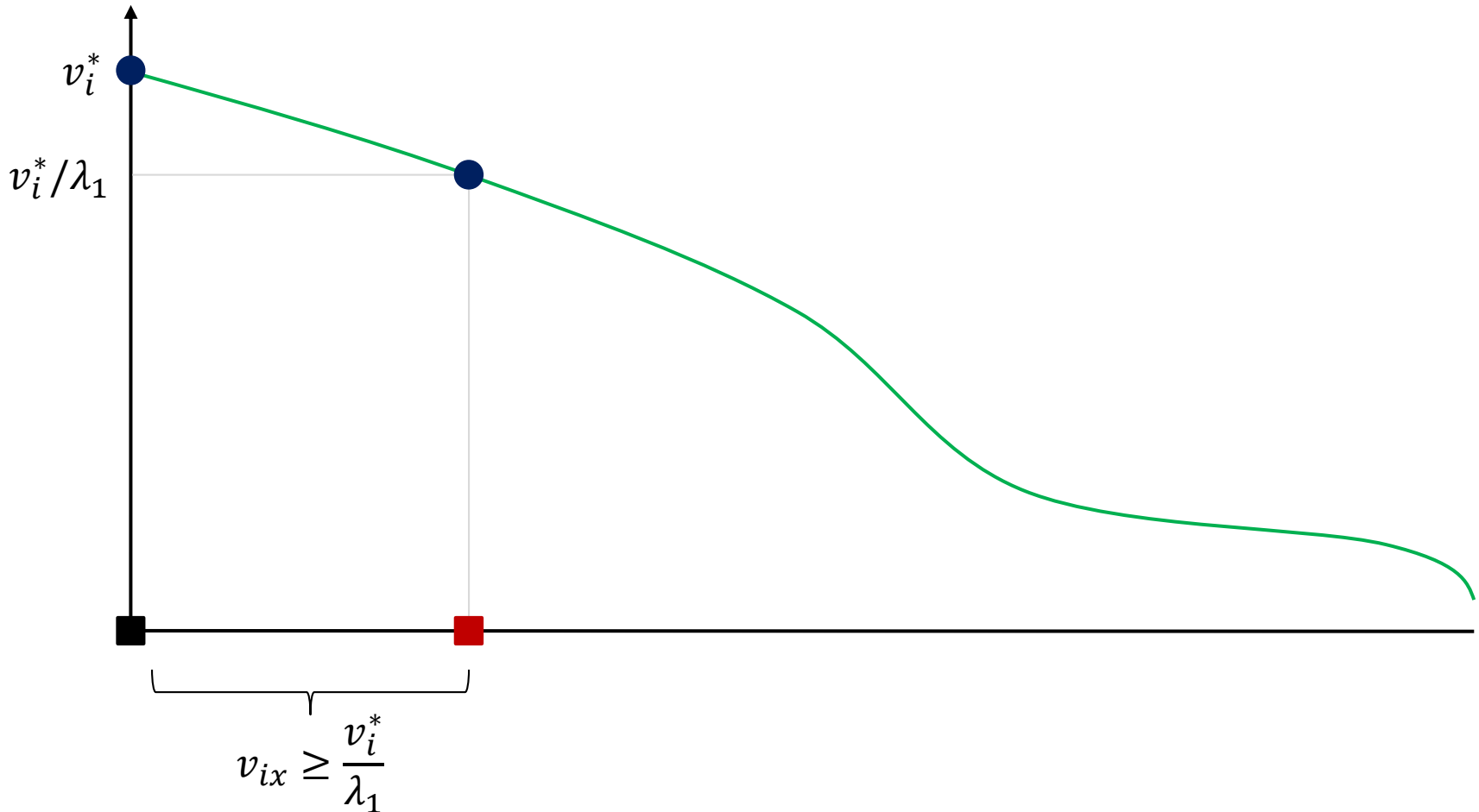
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k -Acceptable Range Voting

91

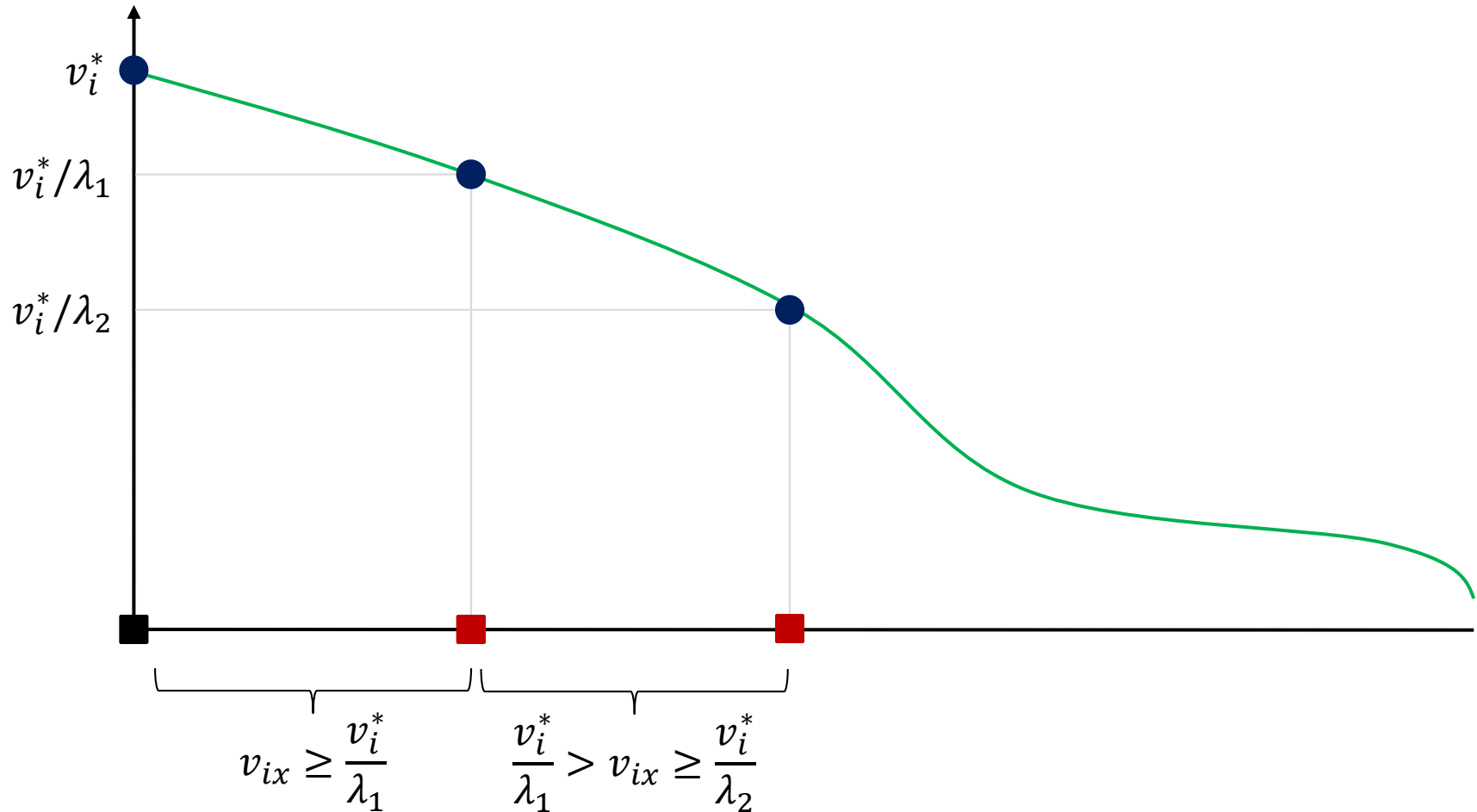
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k -Acceptable Range Voting

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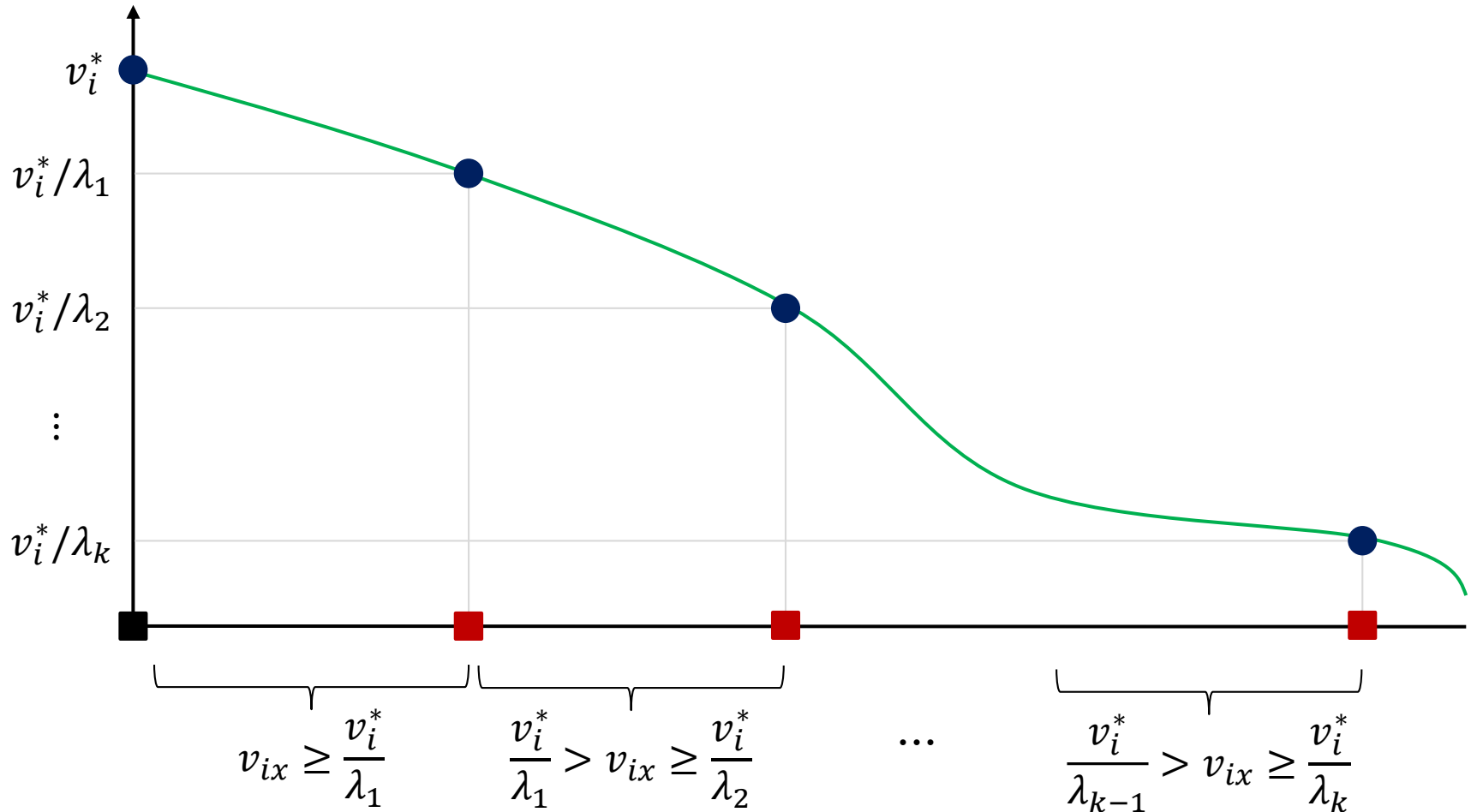
- Define k threshold values $\lambda_1, \dots, \lambda_k$



k -Acceptable Range Voting

93

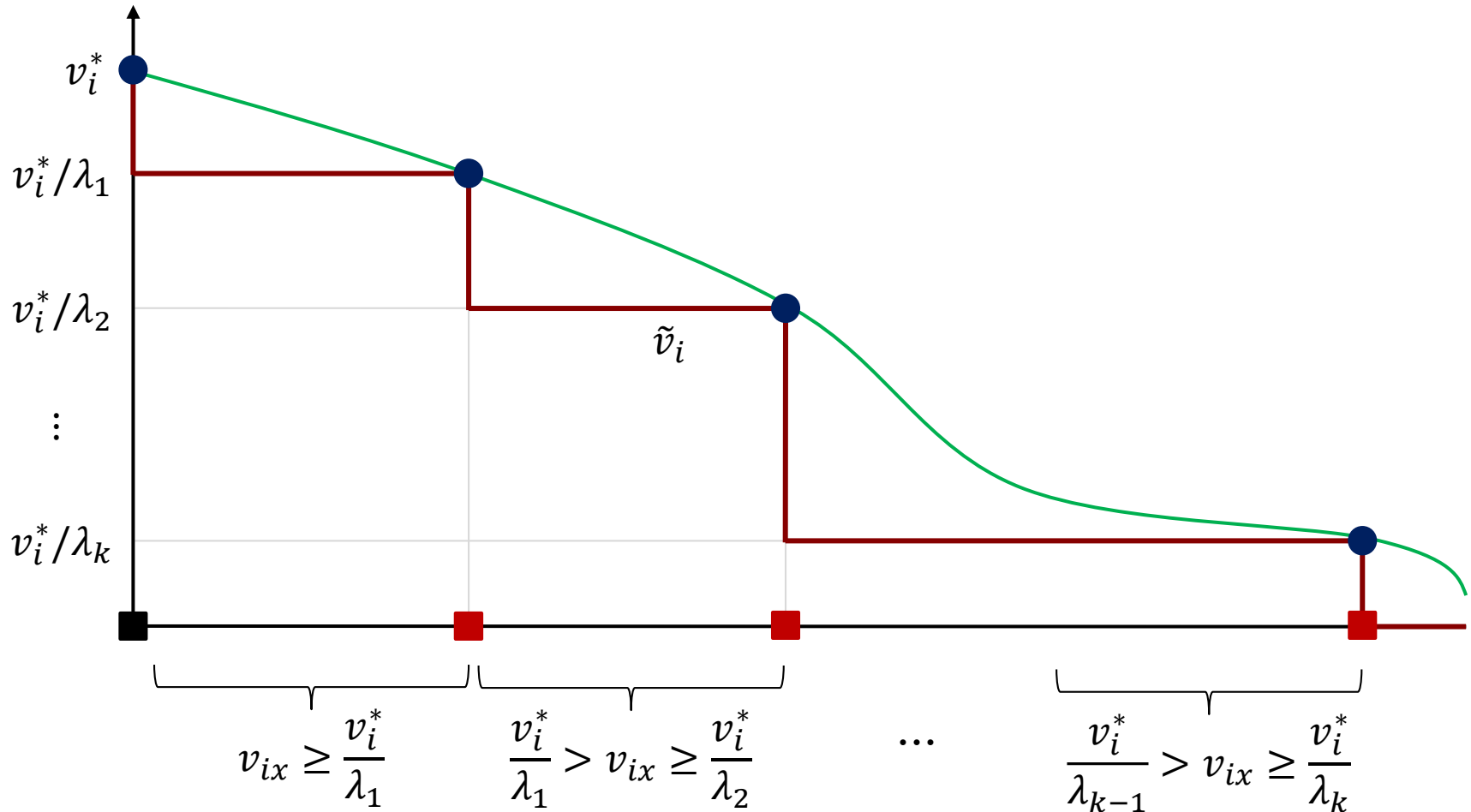
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k -Acceptable Range Voting

94

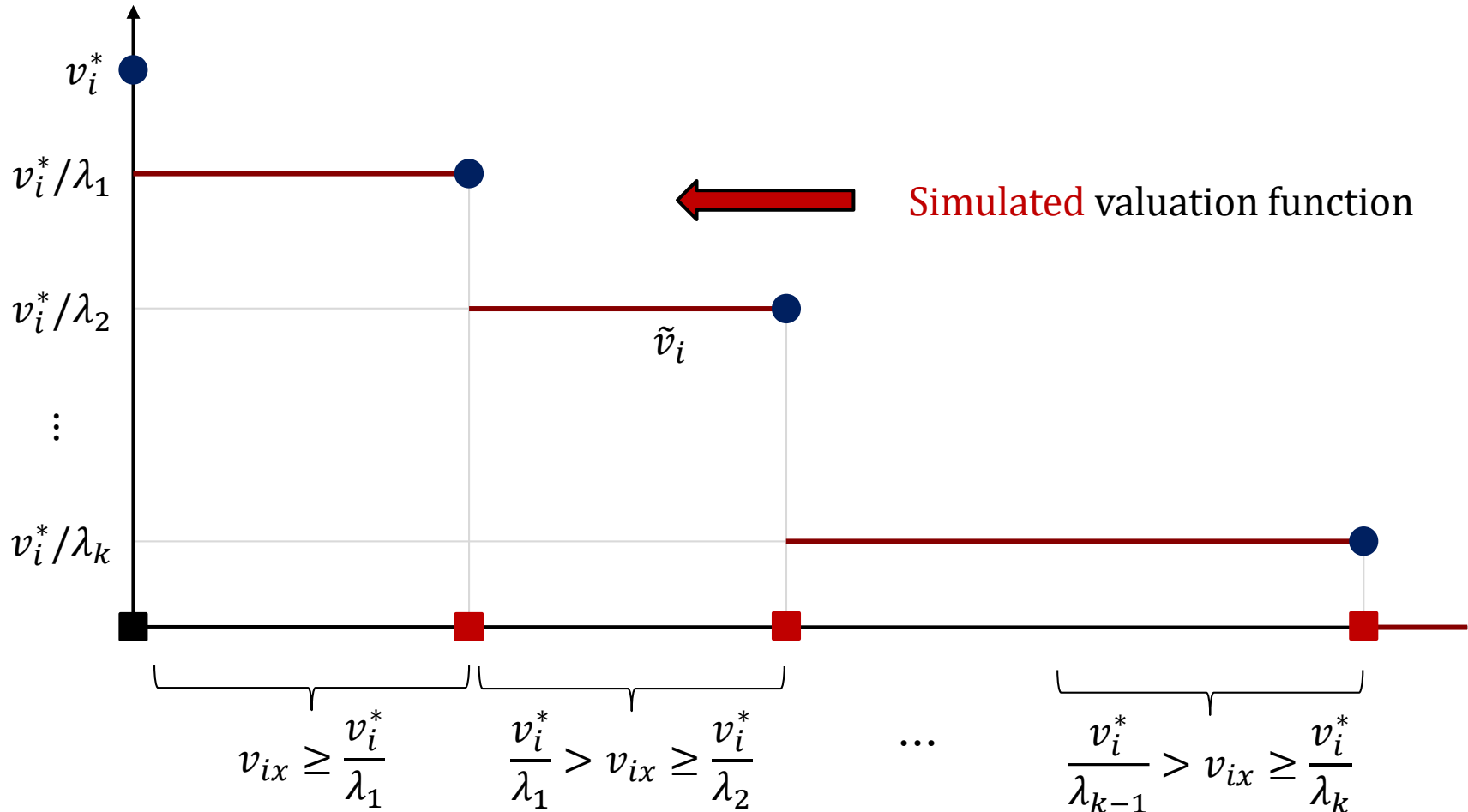
- Define k threshold values $\lambda_1, \dots, \lambda_k$



k -Acceptable Range Voting

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- Define k threshold values $\lambda_1, \dots, \lambda_k$



k -Acceptable Range Voting

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- Set $\lambda_\ell = m^{\ell/(k+1)}$ for $\ell \in [k]$
- Compute the **simulated** valuation function for every agent
- Return the alternative with maximum **simulated social welfare**

k -Acceptable Range Voting

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- Set $\lambda_\ell = m^{\ell/(k+1)}$ for $\ell \in [k]$
- Compute the **simulated** valuation function for every agent
- Return the alternative with maximum **simulated social welfare**

Theorem

k -ARV makes $O(k \cdot \log m)$ values queries per agent, and has distortion $O(k^{k+1} \sqrt[k+1]{m})$, even for unrestricted values

k -Acceptable Range Voting

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- Set $\lambda_\ell = m^{\ell/(k+1)}$ for $\ell \in [k]$
- Compute the **simulated** valuation function for every agent
- Return the alternative with maximum **simulated social welfare**


Theorem


k -ARV makes $O(k \cdot \log m)$ values queries per agent, and has distortion $O(k^{k+1} \sqrt[k]{m})$, even for unrestricted values

- 1-ARV has distortion $O(\sqrt{m})$ using $O(\log m)$ queries per agent
- $\log m$ -ARV has distortion $O(1)$ using $O(\log^2 m)$ queries per agent

Remark 1

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- $O(\sqrt{m})$ distortion
 - $\Theta(\sqrt{m})$ queries  $O(\log m)$ queries

- $O(1)$ distortion
 - $\Theta(m)$ queries  $O(\log^2 m)$ queries

Remark 2

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- $\log m$ -ARV has distortion $O(1)$ using $O(\log^2 m)$ queries per agent
- Can be also achieved by using **comparison** queries under the **unit-sum** assumption
 - The assumption is needed in order to **approximate** via **comparison** queries the value of the alternative at the first position

Remark 3

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- $O(\sqrt{m})$ distortion
 - ▣ $O(\log m)$ queries
 - ▣ **Lower bound:** Constant number of queries per agent

- $O(1)$ distortion
 - ▣ $O(\log^2 m)$ queries
 - ▣ **Lower bound:** $\log m$ queries per agent

Thank You!!!!

